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1c928 U.S. PTO

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1c928 U.S. PTO  
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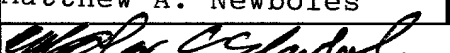
12/08/00

Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/2000. OMB 0651-0032  
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|   |  |                               |
|---|--|-------------------------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) | Attorney Docket No.                      | BENDE-008B                    |
|   | First Inventor or Application Identifier | Theodore V. Bender rev        |
|   | Title                                    | SYSTEM FOR SECURING SUTURE... |
|   | Express Mail Label No.                   | EL711376922US                 |

|   |   |
|---|---|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.   | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |
| <p>1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <input type="text"/><br/>(preferred arrangement set forth below)<br/>- Descriptive title of the invention<br/>- Cross References to Related Applications<br/>- Statement Regarding Fed sponsored R &amp; D<br/>- Reference to Microfiche Appendix<br/>- Background of the invention<br/>- Brief Summary of the invention<br/>- Brief Description of the Drawings (if filed)<br/>- Detailed Description<br/>- Claim(s)<br/>- Abstract of the Disclosure</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input type="text"/><br/>4. Oath or Declaration [Total Pages <input type="text"/><br/>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br/>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))<br/>(for continuation/divisional with Box 16 completed)<br/>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br/>Signed statement attached deleting<br/>inventor(s) named in the prior application,<br/>see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission<br/>(if applicable, all necessary)<br/>a. <input type="checkbox"/> Computer Readable Copy<br/>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br/>c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))<br/>8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney<br/>(when there is an assignee)<br/>9. <input type="checkbox"/> English Translation Document (if applicable)<br/>10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations<br/>11. <input type="checkbox"/> Preliminary Amendment<br/>12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/>(Should be specifically itemized)<br/>13. <input checked="" type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application,<br/>(PTO/SB/09-12) Status still proper and desired<br/>14. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/>(if foreign priority is claimed)<br/>15. <input type="checkbox"/> Other: _____</p> <p><b>NOTE FOR ITEMS 1 &amp; 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</b></p> |   |
| <p>16. If a <b>CONTINUING APPLICATION</b>, check appropriate box, and supply the requisite information below and in a preliminary amendment:<br/><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u>09 / 197,938</u><br/>Prior application information: Examiner <u>Reip, D.</u> Group / Art Unit: <u>3731</u><br/><b>For CONTINUATION or DIVISIONAL APPS only:</b> The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>  |   |

|  |  |           |                |          |               |
|--|--|-----------|----------------|----------|---------------|
| <b>17. CORRESPONDENCE ADDRESS</b>  |  |           |                |          |               |
| <input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or <input checked="" type="checkbox"/> Correspondence address below |  |           |                |          |               |
| Name   | Matthew A. Newboles<br>Stetina Brunda Garred & Brucker |           |                |          |               |
| Address  | 24221 Calle de la Louisa                               |           |                |          |               |
| City   | Laguna Hills   | State     | CA             | Zip Code | 92653         |
| Country  | United States  | Telephone | (949) 855-1246 | Fax      | (949) 855-637 |

|                   |   |                                   |          |
|-------------------|---|-----------------------------------|----------|
| Name (Print/Type) | Matthew A. Newboles   | Registration No. (Attorney/Agent) | 36,224   |
| Signature         |  | Date                              | 12/08/00 |

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)  
395.00

## Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application Number   | Unknown              |
| Filing Date          | Herewith             |
| First Named Inventor | Theodore V. Benderev |
| Examiner Name        | Unknown              |
| Group Art Unit       | Unknown              |
| Attorney Docket No.  | BENDE-008B           |

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 19-4330
- Deposit Account Name: Stetina Brunda ...
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description        | Fee Paid |
|--------------|--------------|---------------|---------------|------------------------|----------|
| 101          | 710          | 201           | 355           | Utility filing fee     | 355      |
| 106          | 320          | 206           | 160           | Design filing fee      |          |
| 107          | 490          | 207           | 245           | Plant filing fee       |          |
| 108          | 710          | 208           | 355           | Reissue filing fee     |          |
| 114          | 150          | 214           | 75            | Provisional filing fee |          |

SUBTOTAL (1) (\$)  
355.00

### 2. EXTRA CLAIM FEES

Total Claims: 5

Independent Claims: 1

Multiple Dependent Claims: 4

Extra Claims: 20\*\* = 4 X Fee from below = 40

3\*\* = 1 X Fee from below = 10

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|--------------|--------------|---------------|---------------|--|----------|
| 103          | 18           | 203           | 9             | Claims in excess of 20                                     |          |
| 102          | 80           | 202           | 40            | Independent claims in excess of 3                          |          |
| 104          | 270          | 204           | 135           | Multiple dependent claim, if not paid                      |          |
| 109          | 80           | 209           | 40            | ** Reissue independent claims over original patent         |          |
| 110          | 18           | 210           | 9             | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$)  
00

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|--------------|--------------|---------------|---------------|--|----------|
| 105          | 130          | 205           | 65            | Surcharge - late filing fee or oath  |          |
| 127          | 50           | 227           | 25            | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139          | 130          | 139           | 130           | Non-English specification  |          |
| 147          | 2,520        | 147           | 2,520         | For filing a request for ex parte reexamination                            |          |
| 112          | 920*         | 112           | 920*          | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 1,840*       | 113           | 1,840*        | Requesting publication of SIR after Examiner action                        |          |
| 115          | 110          | 215           | 55            | Extension for reply within first month                                     |          |
| 116          | 390          | 216           | 195           | Extension for reply within second month                                    |          |
| 117          | 890          | 217           | 445           | Extension for reply within third month                                     |          |
| 118          | 1,390        | 218           | 695           | Extension for reply within fourth month                                    |          |
| 128          | 1,890        | 228           | 945           | Extension for reply within fifth month                                     |          |
| 119          | 310          | 219           | 155           | Notice of Appeal   |          |
| 120          | 310          | 220           | 155           | Filing a brief in support of an appeal                                     |          |
| 121          | 270          | 221           | 135           | Request for oral hearing   |          |
| 138          | 1,510        | 138           | 1,510         | Petition to institute a public use proceeding                              |          |
| 140          | 110          | 240           | 55            | Petition to revive - unavoidable   |          |
| 141          | 1,240        | 241           | 620           | Petition to revive - unintentional   |          |
| 142          | 1,240        | 242           | 620           | Utility issue fee (or reissue)   |          |
| 143          | 440          | 243           | 220           | Design issue fee   |          |
| 144          | 600          | 244           | 300           | Plant issue fee  |          |
| 122          | 130          | 122           | 130           | Petitions to the Commissioner  |          |
| 123          | 50           | 123           | 50            | Petitions related to provisional applications                              |          |
| 126          | 240          | 126           | 240           | Submission of Information Disclosure Stmt                                  |          |
| 581          | 40           | 581           | 40            | Recording each patent assignment per property (times number of properties) | 40       |
| 146          | 710          | 246           | 355           | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149          | 710          | 249           | 355           | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179          | 710          | 279           | 355           | Request for Continued Examination (RCE)                                    |          |
| 169          | 900          | 169           | 900           | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)  
40.00

## SUBMITTED BY

|                   |                     |                                   |         |           |                |
|-------------------|---------------------|-----------------------------------|---------|-----------|----------------|
| Name (Print/Type) | Matthew A. Newboles | Registration No. (Attorney/Agent) | 36,224  | Telephone | (949) 855-1246 |
| Signature         |                     | Date                              | 12/8/00 |           |                |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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ATTORNEY DOCKET NO.:BENDE-008B

CERTIFICATE OF MAILING

UNDER 37 CFR 1.8 OR 37 CFR 1.10

- ☐ I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:
- ☒ I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Express Mail No. EL711376922US on the date indicated below and is addressed to:

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ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

on December 8, 2000  
(Date)

  
Signature

MICHELLE SCHROEDER

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Continuation Patent Application Transmittal;
2. Fee Transmittal (in duplicate);
3. Power of Attorney (1 page);
4. Declaration (3 pages);
5. Small Entity Statement (1 page);
6. Recordation Cover Sheet (2 pages);
7. Assignment (2 pages);
8. Application (40 pages);
9. Drawings (7 sheets);
10. Check for filing fee \$355.00;
11. Check for Assignment \$40.00; and
12. Return Receipt Postcard.